



## Legislation Details (With Text)

**File #:** 15-2485      **Version:** 1      **Name:** Samuel Klein 2015 Statutory Audit  
**Type:** Resolution      **Status:** Filed  
**File created:** 12/30/2015      **In control:** Municipal Council and City Clerk  
**On agenda:** 1/20/2016      **Final action:** 1/20/2016

**Title:** Dept/ Agency: Offices of Municipal Council/City Clerk  
Action:  Ratifying  Authorizing  Amending  
Type of Service: Professional Service Contract  
Purpose: Statutory Auditor Services for the year ending December 31, 2015  
Entity Name: Samuel Klein and Company  
Entity Address: 550 Broad Street, Newark, New Jersey 07102  
Contract Amount: \$354,475.00  
Funding Source: City Current Fund  
Contract Period: January 1, 2016 through December 31, 2016  
Contract Basis:  Bid  State Vendor  Prof. Ser.  EUS  
 Fair & Open  No Reportable Contributions  RFP  RFQ  
 Private Sale  Grant  Sub-recipient  n/a  
Additional Information:  
Response to RFQ Received: 1

**Sponsors:** Council of the Whole

**Indexes:**

**Code sections:**

Date	Ver.	Action By	Action	Result
1/20/2016	1	Municipal Council	Adopt	Pass

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**WHEREAS**, the City of Newark on behalf of the Municipal Council, advertised a Request for Qualifications (RFQ) for Statutory Auditor Services, pursuant to N.J.S.A. 40A:5-4, for Calendar Year ending December 31, 2015; and

**WHEREAS**, auditing services are professional services, exempt from the public bidding requirements of the Local Public Contracts Law, N.J.S.A. 40A:11-1, et seq., as the services are provided by a Registered Municipal Accountant pursuant to N.J.S.A. 40A:5-9; and

**WHEREAS**, a Qualification Statement was received on December 9, 2015 from Samuel Klein and Company, CPA's (Joseph J. Faccone, Registered Municipal Accountant), 550 Broad Street, Newark, New Jersey 07102, the Qualification Statement was found to be in the best interest of the City of Newark to accept; and

**WHEREAS**, the Municipal Council of the City of Newark wishes to enter into a contract with Samuel Klein and Company, CPA's, 550 Broad Street, Newark, New Jersey 07102, which is or employs five (5) Registered Municipal Accountants to provide Statutory Auditor Services for the year ending December 31, 2015, for the Municipal Council, in the amount of \$354,475.00, for the period of January 1, 2016 through December 31, 2016; and

**WHEREAS**, the Local Public Contracts Law (N.J.S.A. 40A:11-1 et seq.) requires that notice of the award of contracts for Professional Services without public bidding, must be printed in the official newspaper of the municipality, setting forth the nature, duration, service and amount of the contract and that the resolution and the contract are on file in the Office of the City Clerk and are available for public inspection; and

**WHEREAS**, this contract is for an amount over \$17,500.00 and is being awarded as a fair and open contract pursuant to N.J.S.A. 19:44A-20.5; and

**WHEREAS**, the Municipal Council adopted the Contractor Pay-to-Play Reform Ordinance, R.O. 2:4-22C, which forbids the award of a contract to a Business Entity, as defined therein, which has made or solicited political contributions to Newark Municipal Candidates, office holders having ultimate responsibility for the award of a contract; candidate committees of the aforementioned candidates; and political or political party committees of the City of Newark or County of Essex; and continuing political committees or political action committees regularly engaged in the support of the City of Newark municipal or County of Essex elections; and certain other political party or candidate committees, in excess of certain thresholds.

**NOW, THEREFORE, BE IT RESOLVED BY THE MUNICIPAL COUNCIL OF THE CITY OF NEWARK, NEW JERSEY, THAT:**

1. The Municipal Council President of the City of Newark is authorized to execute a contract with Samuel Klein and Company, CPA's, 550 Broad Street, Newark, New Jersey 07102, to provide Statutory Auditor Services pursuant to N.J.S.A. 40A:5-4, for year ending December 31, 2015, in the amount of \$354,475.00 payable in accordance with the contract for the period of January 1, 2016 through December 31, 2016.
2. The contract is ratified from January 1, 2016 to the date of adoption of this resolution.
3. Attached hereto is the Comptroller's certification which states the funds in the amount of \$354,475.00 are available in the 2015 Adopted Budget. The certification shall be filed along with the original resolution and the executed contract in the Office of the City Clerk.
4. This contract is being awarded pursuant to the fair and open procedures of the Pay-to-Play

Law, N.J.S.A. 19:44A-20.5 and is in compliance with the Contractor Pay-to-Play Reform Ordinance, R.O. 2:4-22C.

5. This contract is being awarded without public bidding pursuant to the Professional Services exception of the Local Public Contracts Law (N.J.S.A. 40A:11-5(1)(a)(i)), and because these services are to be rendered by a person authorized by law to practice a recognized profession, whose practice is regulated by law, and the performance of which services requires knowledge of an advanced type in a field of learning acquired by a prolonged formal course of specialized instruction.
6. The contract will contain language prohibiting any contributions during the term of the contract in violation of any state or local law.
7. A copy of the executed agreement herein authorized shall be filed in the Office of the City Clerk and shall be made available for public inspection.
8. A notice of this action shall be published in the newspaper authorized by law to publish a legal advertisement and as required by law within 10 days of its passage.

### **STATEMENT**

This resolution ratifies and authorized the execution of the contract between the City of Newark and Samuel Klein and Company, CPA's, 550 Broad Street, Newark, New Jersey 07102, to provide Statutory Auditor Services for year ending December 31, 2015, in the amount of \$354,475.00, for the period of January 1, 2016 through December 31, 2016.